KTrade-02

Member PMEX code: 309
SECP BRC: 3352

KTRADE SECURITIES LIMITED

Commodity Futures Trading

Account Opening Form (For Institution Clients)

CORPORATE OFFICE
201-202, 2nd Floor, Plot# 33-C,
Lane 13, Khayaben-e-Bukhari
Phase VI, DHA, Karachi.
UAN: 021 111-115272
Email: Info@ktradepk.com

BRANCH OFFICE
101-102, 1st Floor, Plot# 33-C,
Lane 13, Khayaben-e-Bukhari
Phase VI, DHA, Karachi.
UAN: 021 111-115272
Email: Info@ktradepk.com

BRANCH OFFICE
301-302, 3rd Floor, Plot# 33-C,
Lane 13, Khayaben-e-Bukhari
Phase VI, DHA, Karachi.
UAN: 021 111-115272
Email: Info@ktradepk.com

BRANCH OFFICE
401-402, 4th Floor, Plot# 33-C,
Lane 13, Khayaben-e-Bukhari
Phase VI, DHA, Karachi.
UAN: 021 111-115272
Email: Info@ktradepk.com

BRANCH OFFICE
Room # 101,105
1st Floor PSX New Building,
I.I Chundrigar Road, Karachi.
Tel: 32410918, 32431435
Email: Info@ktradepk.com

BRANCH NORTH NAZIMABAD
Office No. 103, First Floor,
Plot No. B-122, Block H,
North Nazimabad Karachi
Tel: 021-36640044 | 021-36640055
Email: Info@ktradepk.com

BRANCH OFFICE
Office # 16, 4th Floor, Boman
G Square, Nusrat Road,
Multan Cantt, Multan.
Tel: 061-4540347-349
Email: Info@ktradepk.com

BRANCH OFFICE
Office # M09 50-N,
Gurumangat Road,
Gulberg-II, Lahore.
Tel: 042-32152701-702
Email: Info@ktradepk.com

FACILITATION CENTER
3rd Floor, Manzoor Plaza
Blue Area G-6/2,
Islamabad.
Tel: 021-35340492
Email: Info@ktradepk.com

KTRADE SECURITIES LIMITED

Commodity Futures Trading
Account Opening Form (For Institution Clients)

Note 1: Every column must be filled in
Note 2: Columns which are not applicable should be marked "NA" and also initialed.
Note 3: Each page of this form must be duly signed by the Account Holder (s) and the Broker.

Date: _____

Dear Sirs,

I/We,_____ request you to open a Commodity Futures Trading Account with the _____office of **KTRADE SECURITIES LIMITED** for purchase and sale of futures contracts, in accordance with the Terms and Conditions attached herewith. My/our account details are as under:

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For Office use only:

Trader Account Code: _____

UIN: _____

FOR COMPANIES AND FIRMS ONLY

Company Registration No:	
Status:	**Resident** ☐ **Non Resident** ☐
Address:	
Board Resolution dated _____ attached as Annexure "A" (Copy Enclosed)	**Yes** ☐ **No** ☐
Certificate of Incorporation attached as Annexure "B" (Copy Enclosed)	**Yes** ☐ **No** ☐
Date of Business Commencement :	**_____(DD/MM/YYYY)**
National Tax Number:	
Sales Tax registration Number:	

_____ _____

Signature(s) of Account Holder(s) **Signature of Broker**

AUTHORISED PERSON

Name of authorized person to instruct, place, deal (buy or sell) and settle transactions and orders:

Name :	
Relationship to the account holder :	
National Identity Card No (Copy Enclosed):	
Address :	
Father / Husbands Name :	
Signature :	
Nature of Account :	**Singly** ☐ **Jointly** ☐
Telephone Numbers :	**Home:** **Office:** **Mobile:**

Power of Attorney is required for the above, in case the authorized person is other than the account holder

Signature(s) of Account Holder(s)

Signature of Broker

DECLARATION OF SOLVENCY

The company/individual i.e. (the Account Holder) hereby declares that:
a) It has not applied to be adjudicated as an insolvent and that it has not suspended payment and that it has not compounded with its creditors,
b) It is not un-discharged insolvent; and

c) It has not been declared defaulter in repayment of loan(s) of a banks/financial institutions.

MARGIN DEPOSIT

Margin deposits: The Account Holder(s) shall directly pay in PMEX designated bank account(s) such amount as a margin deposit as decided by the Broker subject to the minimum margin determined by the Exchange.

INSTRUCTION

Tick the appropriate box [Please see **clause 17** of the Special Terms and Conditions].

Verbal: ☐ Option for written instructions: ☐

CONFIRMATION OF TRADE AND DELIVERY OF MAIL & ACCESS TO PMEX PORTAL

Confirmations of trades and other correspondence may please be sent to:

Office address via postal mail/ courier	
Residential address via postal mail/ courier	
Email Address	
Fax Number:	
Direct Access to PMEX Portal: **Yes** ☐ **No** ☐	

ACCOUNT(S) WITH OTHER BROKER(S) (OPTIONAL)

Name of Broker(s)	
Account Holder(s) ID a/c	
Exchange	

ACCOUNT WITH BANK

Name of Bank:	
Savings/ Current Account Number:	
Branch Address:	

Signature(s) of Account Holder(s)

Signature of Broker

SPECIAL TERMS AND CONDITIONS

The terms and conditions set herein below shall be equally binding on the Broker and the Account Holder(s):

1. All trades, transactions and contracts between the parties shall be subject to the Futures Market Act, 2016 read with the Securities & Exchange Commission of Pakistan Act, 1997, Futures Brokers (Licensing & Operations) Regulations, 2018, General Regulations of the Exchange, Notices and Circulars. The Broker shall ensure provisions of copies of all the above Rules, Regulations, Notices and Circulars at its office for access to the Account Holder(s) during working hours.

2. In the event of the death or insolvency of the Account Holder(s) or his otherwise becoming incapable of receiving and paying for or delivering or transferring commodities which the Account Holder(s) has ordered to be bought or sold, the Broker may with the approval of the Exchange, close out the transaction of the Account Holder(s) and the Account Holder(s) or his legal representative shall be liable for any losses, costs, damages including statutory / regulatory charges, if any and be entitled to any surplus / profits which may result there from.

3. Payment of Margins: Variation Margin will be collected in cash only through banking channel. The Account Holder(s)'s further order will add to the open positions only if the balance of the initial margin deposit is adequate to meet the initial margin required on such new positions. The Account Holder(s) may not be permitted to create any new open positions, until receipt of such additional initial margin.

4. All positions (brought forward, created during the day, closed out during the day) in Commodity Futures Contracts, at the close of trading hours on a day, shall be marked to market at the Daily Settlement Price (for Daily Mark to Market Settlement) and settled.

5. Variation Margin calls resulting due to daily Mark to Market settlement in respect of admitted deals in Commodity Futures Contracts shall be cash settled, only.

6. Mark to market settlement resulting in losses: Losses incurred at the end of the trading day will be first deducted from the respective funds available with the Exchange in the form of Margin deposits, after covering up the minimum margin required at the end of the trading day. If the balance available to the credit of the Account Holder(s) is not adequate to cover the loss incurred by the Broker or Account Holder(s) a variation margin call will be generated to cover the amount of loss. Variation Margin call amount will be collected from each Account Holder(s). The Account Holder(s) will be required to pay to the Exchange 100% of the amount of Variation Margin call in cash through banking channel. Variation Margin Calls (Losses) at the end of each day will be paid to the Clearing House latest by the Exchange determined cutoff time on the same trading day.

7. In case an Account Holder(s) fails to deposit the amount of Variation Margin call during the timings specified by the Exchange his trading account(s) and the rights arising in connection thereto will be suspended.

8. In Case of Profits: Profit earned at the end of the trading day will be added / credited to the respective funds available with the Exchange in the form of Margin deposits. The amount will increase the balance available at the end of trading day, to take further positions.

9. On Expiry date of a commodity futures contract, all Account Holder(s) s with open positions, will be matched for delivery in respect of all positions individually.

10. A detailed report containing all matched and unmatched requests will be provided to Account Holder(s) before the end of the day on expiration of the commodity futures contract.

11. The Account Holder(s) acknowledges that all contracts culminating in delivery, which are not squared off, would be transactions for purchase and sales between the Account Holder(s), and the Account Holder(s) would be personally liable to each other through the contract. The relationship between the Account Holder(s) inter se is and will be governed and regulated by the Regulations of the Exchange.

12. Deliveries: The Account Holder(s) will be responsible for providing information for the purposes of giving / taking delivery against his Open Position along-with information necessary for giving / taking delivery within stipulated period as determined by the Exchange. The Broker shall be responsible for the collection of such said information from the Account Holder(s) and to submit the same to the Exchange.

13. The Exchange at the end of the stipulated period shall match the information provided by the Broker against Net Open Positions of the Account Holder(s) and shall confirm the Delivery / Receipt to be effected against Delivery information submitted by the Broker.

14. The Account Holder(s) shall co-ordinate with the Broker to ensure that all requirements for giving / taking delivery are fulfilled. The Account Holder(s) shall also ensure that all statutory requirements laid down regarding Sale / Purchase of goods including payment of taxes, local levies and other statutory / regulatory charges as prescribed under applicable laws from time to time are complied with.

Signature(s) of Account Holder(s)

Signature of Broker

15. The Account Holder(s) shall submit Invoices any and all such other documents as required under the prevalent laws and forward the same to the Clearing House within stipulated period as specified by the Exchange from time to time.

16. Account Holder(s) shall authorize the Broker to buy, sell or close out any part or all of the contracts held in the Account Holder(s) account with the Broker. The Account Holder(s) will reimburse the Broker for any and all such incidental expense as may be incurred by the Broker for and on account of the Account Holder(s).

17. The Broker shall be authorized to act on the verbal instructions of the Account Holder(s). The Broker shall provide a written confirmation of the executed transactions as required under the Regulations and all such transactions recorded by the Broker in his books shall be conclusive and binding upon the Account Holder(s). However, the broker shall not obtain discretionary trading authority from the client. The broker shall place orders on behalf of the client from his login credentials. The brokers or his employee(s) shall not obtain and use password of the client.

18. While the Broker shall make every effort to carry out the instructions of the Account Holder(s), within the limits of the prices instructed by the Account Holder(s), and to execute the instructions in full, the Broker does not in any manner, express or implied, guarantee, promise, warrant, represent or assure that the instructions of the Account Holder(s) will be implemented fully or even partially and/or within the limits notified by the Account Holder(s). The Account Holder(s) agree(s) that it/they shall not be entitled to make any claim against the Broker and the Broker shall not be responsible for any loss arising out of instructions not implemented fully or partially, due to oversight or reasons not attributable to the Broker or reasons beyond the Broker's control, unless the loss to the Account Holder(s) has arisen out of the gross negligence or oversight of the Broker.

19. All purchases and sales of commodity futures contracts shall be executed by the Broker and the Account Holder during the trading hours of the Exchange. Unless the Account Holder(s) give(s) specific instructions to the Broker to the contrary, the Account Holder(s) acknowledge(s) that all orders shall be good for the pertinent day only and shall lapse at the end of the official trading day of the Exchange.

20. The Broker may, from time to time at the request of the Account Holder(s) or of its own volition, provide to the Account Holder(s) information relating to investment opportunities in the market and/or of finances/ economic nature. The Broker however does not guarantee the accuracy/veracity/reliability of such information and the Account Holder(s) acknowledge(s) that any steps or instructions issued in reliance of such information shall be deemed to have been unilaterally taken/ issued by the Account Holders at his/her/their sole risk and responsibility in respect of the consequences emanating there from. It is agreed and understood that the decision to sell or purchase any commodities or to make any investments or disinvestments, shall be deemed to have been made only by the Account Holder(s) based on his/her/ their own acumen and judgment, without any representation or assurance from the Broker as to its profitability or viability.

21. The Broker is entitled to assume the correctness and validity of any instructions given by or which appear to have been duly authorized by the Account Holder(s) and his Authorized Person and any action taken by the Broker shall be valid and binding upon the Account Holder.

22. The Broker shall provide the trade confirmation of the executed transactions to the Account Holder at the above stated address by means of acceptable mode of communication or by hand subject to acknowledgment receipt as noted in Clause 17

23. In case there are any error(s) in the trade confirmation statement, the Account Holder(s) shall report the same to the Broker within one business day of the receipt of confirmation. In case the Account Holder(s) do not respond within one business day of the receipt of the said daily confirmation statement, the confirmation statement shall be deemed conclusively accepted by the Account Holder(s). Provided however, the Broker's decision as to whether or not there has been any error in the daily confirmation statement, shall be conclusive and binding upon the Account Holder(s).

24. Provisions in case of Default: In the event of a default of a Broker on his own account, the Account Holder(s) money shall not be utilized to meet the Broker's liabilities. In such cases, the Account Holder(s)'s positions shall be either transferred to another solvent Broker or closed-out as per the Regulations of the Exchange. The loss, if any, caused to the Account Holder(s) because of such action would be recoverable by the Account Holder(s) from the Broker. In the event of failure of the Account Holder(s) to fulfill his obligations to the Broker, the Exchange or the Clearing House, the Account Holder(s)'s position may be closed out and the money, if any, of the Account Holder(s) available with the Broker or with any other Broker or the Exchange, may be adjusted against the Account Holder(s)'s liabilities / obligations.

25. In case of any amount due from the Account Holder(s) has not been paid within the period specified, the Broker shall have the right to settle the outstanding amounts by closing out the contract. The Account Holder(s) shall further be liable for all losses, damages, costs and expenses, which the Broker may suffer or sustain due to non-payment by the Account Holder(s).

26. The Account Holder(s) shall have a right to obtain a copy of his/her/their ledger statement under official seal and signature of the Broker or his authorized representative on a monthly basis and otherwise as and when required by the Account Holder(s)' at a reasonable cost to the Account Holder(s). In case of any discrepancy in the ledger statement, the Account Holder(s) shall inform the Broker within 1 (one) day of receipt of the ledger statement to remove such discrepancy.

Signature(s) of Account Holder(s) **Signature of Broker**

27. The Account Holder (s) shall operate the account and execute transactions himself/herself/themselves unless the Account Holder(s) authorize Mr./Ms./ _____ (Authorized Person) to transact in the account in which event the transactions shall be executed by the Authorized Person on behalf of the Account Holder(s). All transactions executed by the Authorized Person shall be binding upon the Account Holder(s). The Broker shall upon receipt of instructions from the Account Holder or the Authorized Person is entitled to purchase or sell, the commodities and deal in and engage in transactions in commodities upon the instructions of the Account Holder(s) and/or his/her/their Authorized Persons, as provided in Clause 17 hereinabove.

28. The Broker shall be responsible to append a list of his authorized traders and designated employees, who can deal with the Account Holder(s), with this Account opening form and a copy of both the opening form and the list will be provided to the Account Holder(s). Any change therein shall be intimated in writing to the Account Holder(s) with immediate effect. The Account Holder(s) shall not deal with any person at the Broker's office, except with the Broker's key personnel. The Broker shall not be responsible for any dealings between the Account Holder(s) and any unauthorized person.

29. The Account Holder(s) shall pay such commission at such rates and on such basis as the Broker may from time to time advise to the Account Holder(s) in writing, in accordance with prescribed rates stipulated by the Exchange or at such rates as the Broker may decide within the limits stipulated by the Exchange. Besides, the Brokers may also authorized to collect any levies, charges, taxes, rates, duties, including central excise duties, sales tax, etc. on each transaction as may be levied by the Federal, Provincial or Local Government(s), the Commission and/or the Exchange. In case any withholding tax is applicable on payments to be made to the Account Holder(s), the same shall be withheld in accordance with the pertinent regulations.

30. The Broker shall not disclose the information of the transactions of the Account Holder(s) to any third party and shall maintain the confidentiality of this information. However, in case any appropriate Court, Tribunal, Exchange, the Commission, the State Bank, the Investigating Agencies (such as NAB, FIA, ANF, etc.) or the Federal or Provincial or Local Government(s) as the case may be, in exercise of its/their powers under the law require(s) any such information, the Broker shall be obliged to disclose the same for which the Account Holder(s) shall not raise any objection whatsoever.

    Provided however that the Broker shall be allowed to share the details of the Account Holder(s) as mentioned in the Account Holder(s) registration form or any other information pertaining to the Account Holder(s) with parties / entities other than when required under law with the express permission of the Account Holder(s).

31. In case of merger of the Broker's corporate membership with another entity, subject to the condition that the beneficial ownership remain the same, the agreement and conditions laid down herein above shall remain effective, unless otherwise mutually agreed by the parties.

32. Acceptable mode of communication between the Account Holder(s) and the Broker shall be through letter (courier/registered post/fax/Email) or by hand subject to receipt/acknowledgment, as per the medium chosen by the Account Holder(s) in the title page of this Account Opening Form. The onus of proving that the e-mail has been received by the recipient shall be on the sender sending the mail. The Broker may, however, at its discretion, insist upon a particular mode of receiving instructions from the Account Holder(s) in one of the above mentioned modes of communication and shall be further at a liberty to record, tape or in any other manner store such instructions. Any voice recording made by the Broker shall constitute evidence of the communication so recorded for such instructions.

33. In case of change of address or contact numbers of either party, the concerned party shall immediately notify the other party of the changes in writing. The Account Holder(s) further undertake(s) to promptly notify the Broker in writing of any change in the List of Authorized Person(s), as set out in this Account Opening Form. No change thereto shall be effective and the Broker shall be entitled to rely on the information pertaining to the Authorized Person(s) and the particulars, as recorded with the Broker without incurring any liability for doing so, until such time that the Broker is so notified in writing along with the credentials and specimen signature(s) of the replacement Authorized Person(s).

34. In the event of failure or refusal to effect the delivery against purchase contract by any Broker of the pertinent Exchange through whom the Broker may have purchased the commodities or refusal to accept delivery against any sales contract by any Broker of the said Exchange through whom the Broker may have sold the commodities , the Broker shall not be liable for any damages, costs or legal expenses which the Account Holder(s) may suffer or sustain and, in any such event, Regulations of the Exchange shall at all times prevail and shall be binding upon the parties.

35. The Broker shall not be liable for any fraud, forgery, mis-declaration or any other act or omission on the part of any Account Holder(s) and the contracts shall be purchased or sold at the sole risk of the Account Holder(s) with no obligation on part of the Broker.

36. Trade Obligations: The Account Holder(s) shall accept all trades executed, irrespective of the fact that the order is executed partially or in full, on the Exchange.

37. Account Holder(s) shall be liable to pay all taxes as may be applicable under the Federal and/or Provincial tax laws including sales tax, if applicable, in connection with deliveries and purchase of commodities against the open positions at the expiration of contracts.

38. The Account Holder(s) acknowledge(s) that the Broker has a general right of set-off in respect of any and all monies or sums of the Account Holder(s) or indicated in any account maintained by the Broker as being to the credit of the Account Holder(s) and that such right may be exercised at the discretion of the Broker upon non-payment or other default on part of the Account Holder(s) and in such manner as the Broker deems appropriate. The Account Holder(s) agrees and acknowledges that the Broker may dispose of any commodities or any other property of the Account Holder(s) at such time and prices as deemed appropriate by the Broker in its sole and unfettered discretion in order to recover amounts due to the Broker. The Account Holder(s) hereby authorizes the Broker to make such dispositions on its behalf and from the proceeds thereof to deduct/settle/ adjust/realize all sums that are or may become due and/or payable to the Broker from time to time.

39. In case the Account Holder(s) is/are Foreigner Resident, Foreigner Non-Resident and Non-Resident Pakistani, permission from the government of Pakistan and/or the State Bank of Pakistan shall be obtained by the Account Holder(s), if required under any laws, Rules or Regulations.

40. The Account can be closed by mutual consent, upon at least one month prior written notice by one party to the other. Upon service of such notice, no further transactions of commodities will be executed by the Broker on Account of the Account Holder(s), except that all pending orders shall be executed and all settlement shall be made. This is without prejudice to the right of the Broker to close the Account and to square off the Account Holder(s)' position and recover all out standings, dues, losses, etc. without any prior notice in event of non-payment or breach of any of the terms and conditions of this Agreement by the Account Holder(s). The Account Holder(s) shall continue to be liable for any shortfall.

41. Whenever any difference arises between Broker and his Account Holder(s) on the other hand or between Brokers and their Authorized Representatives, or between any Broker's Authorized Representative and the Broker's Account Holder(s) in connection with the trade or transaction or contracts on the Exchange, or anything incidental hereto, it should be referred to Arbitration in accordance with the Regulation of the Exchange.

42. I / We have read the Risk Disclosure Document appended hereto and understand the trading & risks involved in the trading of these instruments and am/are fully responsible for my/our dealings in these instruments.

43. I / We shall not, either acting alone or in concert with others, directly or indirectly, hold and control excess number of permitted contracts as fixed from time to time by the Exchange.

44. I / We shall not exercise a long or short position where, acting alone or in concert with others, directly or indirectly I / We will have exercised in excess of the commodity wise Position Limits of permitted commodity futures contracts as may be fixed from time to time by the Exchange with the approval of the Commission.

45. I / We, the Account Holder(s) acknowledge receipt of this account opening form (signed here by me/us in duplicate) along with the copies of all the annexure and I/we, the Account Holder(s) also undertake that I/we have understood all the above terms and conditions of this agreement which are acceptable to me/us.

46. I / We, the Account Holder(s) further confirm that all information given in this application is true and complete and hereby authorize the Broker to verify any information mentioned above from any sources deemed appropriate by the Broker.

Signature(s) of Account Holder(s) **Signature of Broker**

Signature(s) of Account Holder		
Name:	**Signature:**	**Dated:**
Name:	**Signature:**	**Dated:**
Name:	**Signature:**	**Dated:**
Name:	**Signature:**	**Dated:**

For and on Behalf of Broker		
Name:	**Designation:**	**Dated:**
Signature:		

Witness 1: **Witness 2:**

Name: _____ **Name:** _____

CNIC#: _____ **CNIC#:** _____

Enclosures - for Individuals

1. Attested copies of Computerized National Identity Card of the applicant.
2. Attested copies of Computerized National Identity Cards of the Nominee(s) (if applicable)
3. Attested copies of passports of the applicant, or Nominee(s) (in case of non-residents)
4. Copy of the letter of authorization from the Account Holder(s) of the person authorized to trade in the Account (if other than the account holder).
5. A list of Transaction fee, Commission to be charged by the Broker and other charges to be levied.
6. Copy of Income tax return

Enclosures - for Companies

1. Attested copies of Memorandum and Articles of Association/ Partnership deed and Certificate of Incorporation.
2. Board of Directors' Resolution.
3. List of Authorized Directors/Officers, who would operate the Account with attested copies of their CNICs.
4. Specimen Signature Cards.
5. Details of promoters/partners/key manager personnel of the company/firm
6. Copies of annual report of last 3 years
7. Paid-up Capital as DD-MM-YY

_____ _____

Signature(s) of Account Holder(s) Signature of Broker

KTRADE SECURITIES LIMITED

KNOW YOUR CUSTOMER (KYC) APPLICATION FORM (CORPORATE)
(*Form to be filled preferably in BLOCK LETTERS*)

A. REGISTRATION (AND OTHER) DETAILS OF CUSTOMER				
1. Full name of Customer (As per constitutive documents)				
2. Date of Incorporation:		**3. Incorporation Number:**	**4. Place of Incorporation:**	
5. Date of Commencement of Business:		**6. National Tax No**. (For exempted entities, supporting document should be provided)/Letter of Undertaking, where entities opt not to obtain NTN)		
7. Industry / Sector:				
8. Registration Number / Unique Identification Number ("UIN"):				
9. Details of Contact Person of the Customer: *(Should only be an authorized representative of the Customer)*				
(a) Name Mr./Mrs./Ms.:				
(b) Association of the Attorney with the Customer:				
(c) Address:				
(d) CNIC/SNIC/NICOP/ARC/POC No:				
(e) Expiry date of CNIC/SNIC/NICOP/ARC/POC:		(f) Designation of the official:		
(g) Tel. (Off.)*:	(h) Mobile:	(i) Fax*:	(j) E-mail:	
(h) . Passport details: *(For a foreigner or a non-resident Pakistani)*	Passport No:	Place of issue:	Date of Issue:	Date of Expiry:

B. ADDRESS DETAILS OF CUSTOMER			
1.(a)Mailing Address:			
City/Town/Village:		Province/State:	Country:
(b) Tel. (Off.):	(c) Mobile*:	(d) Fax*:	(e) Email:
Specify the proof of address submitted for mailing address:			
2. (a)Registered Address:			
City/Town/Village:		Province/State:	Country:
(b) Tel. (Off.):	(c) Mobile*:	(d) Fax*:	(e) Email:
Specify the proof of address submitted for registered address:			

C. OTHER DETAILS				
1. Expected Annual Income:				
2. Net-equity / net-assets as on (date)_____: (_____)				
3. Shareholder's Category: *[Please tick (✓) the appropriate box]*		Investment Company		Modaraba
		Insurance Company		Modaraba Management Company
		Charitable Trust		Cooperative Society
		Leasing Company		Mutual Fund
		Bank/Financial Institution		Other (*Please specify*)
		Joint Stock Company		

D. BANK DETAILS	
Bank Name:	IBAN No:
Branch Name:	Branch Address:

E. DECLARATION

- I / we hereby confirm that all the information furnished above is true and correct to the best of my /our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be untrue or false or misleading or misrepresenting, I/we am aware that I/we may be held liable for it.
- I / we hereby, unconditionally and irrevocably, declare, confirm and acknowledge having read in full and understood the relevant terms and conditions attached as an Annexure to this KYC Application Form duly provided to me/ us by the Authorized Intermediary at the time of filing of this KYC Application Form.
- I / we hereby acknowledge that I/ we had been informed by the Authorized Intermediary at the time of filing this KYC Application Form that these terms and conditions are prescribed under CKO Regulations, 2017 and are also available on the website of CKO, further, I / we have no doubt or concern that the terms and conditions shared with me/ us by the Authorized Intermediary are any different from the ones specified in CKO Regulations, 2017 and available an CKO's website.

_____
Signature of the Authorized Person **Date: _____ (dd/mm/yyyy)**

FOR OFFICE USE ONLY

- I/we hereby confirm and acknowledge having provided in full the relevant terms and conditions attached as an Annexure to this KYC Application Form to the Customer at the time of filing of this KYC Application Form.
- I/we hereby confirm that I/we have informed the Customer at the time of filing this KYC Application Form regarding the availability of these terms and conditions in CKO Regulations, 2017 and on the website of CKO, I / we further confirm and acknowledge that I/we have no doubt or concern that the terms and conditions shared with Customer by me / us are not updated and have any difference when compared with the terms and conditions specified in CKO Regulations, 2017 and available at CKO's website.

_____ _____ _____
Signature of the Authorized Signatory **Date** **Seal/Stamp of the Authorized Intermediary**

* Optional

** IBAN shall be mandatory for all corporate entities except for those which have provided an undertaking for exclusion from IBAN requirement due to any exception available unde applicable laws, rules, regulations etc or where permitted by CKO for reasons to be recorded.

TERMS AND CONDITIONS FORMING MANDATORY PART OF KYC APPLICATION FORM FOR INDIVIDUAL AND KYC APPLICATION FORM FOR CORPORATES AS PRESCRIBED UNDER ANNEXURE II AND ANNEXURE III OF THESE REGULATIONS

Terms & Conditions of the KYC Application Form:

1. All terms herein shall, unless expressly stated otherwise, have the same meaning as ascribed to them in the Centralized KYC Organization Regulations.

2. The information provided in KYC application form and/or CRF shall be in addition to and not in derogation of the requirements prescribed under Anti-Money Laundering and Countering Financing of Terrorism Regulations, 2020.

3. All correspondence shall be sent by CKO at the mailing address and/or email address of the Customer, as stated on the KYC Application Form. KYC application form shall be submitted electronically for Online Account Opening of Individual Pakistani Customer by Authorized Intermediary that is a Professional Clearing Member or a Securities Broker.

4. Neither the CKO nor its directors, officers, employees or agents shall be liable for losses, damages, liabilities, costs or expenses suffered or incurred by the Customer as a result of providing its KYC Information to Authorized Intermediaries or the CKO due to any reasons whatsoever including its unauthorized disclosure.

5. The Customer undertakes to indemnify the CKO against any losses, damages, liabilities, costs or expenses suffered or incurred by CKO, including any legal costs and claims by third parties, as a result of any inaccuracy, misrepresentation, misstatement or incorrect details in the information supplied by the Customer or any omission in such information or any other contravention or violation of the Centralized KYC Organization Regulations

6. The Customer agrees that in the event that he does not abide by the timelines prescribed in the Centralized KYC Organization Regulations for submission of information and confirmation to the NCCPL, the NCCPL shall be authorized to take action as prescribed in the Centralized KYC Organization Regulations. The Customer undertakes that it shall hold CKO harmless and that CKO shall not be liable for any losses, damages, liabilities, costs or expenses suffered or incurred by the Customer as a result of such actions.

7. The Customer agrees that CKO may hold, store and process its KYC Information on the KYC Information System and KYC Database in connection with its KYC functions under the Centralized KYC Organization Regulations. The Customer also agrees that CKO may disclose its KYC Information as permitted under the CKO Regulations and such other disclosures as may be reasonably necessary for compliance with any other laws or regulatory requirements.

8. The Customer acknowledges that KYC Information System and KYC Database, including but not limited to all the information contained therein is the legal property of CKO.

9. The Customer agrees that verification against KYC information provided by Customer and Authorized Intermediaries, shall be performed by CKO as per CKO Regulations and such verifications shall include verification of KYC information through linked services such as RAAST, 1-Link, PMD, NADRA, etc.

10. The Customer agrees that KYC information provided by Customer at the time of onboarding shall be shared with CDC in pursuance of provisions prescribed by the Securities & Exchange Commission of Pakistan with respect to Central Gateway Portal managed by CDC.

11. The Authorized Intermediaries agree to pay CKO the fees and charges as prescribed by CKO from time to time in respect of its KYC functions

12. CKO has absolute discretion to amend or supplement any of the terms and conditions at any time and will endeavor to give prior notice of fifteen days wherever feasible for such changes.

13. The Customer agrees and affirms that it shall be bound by and acts in accordance with the provisions of the Centralized KYC Organization Regulations.

14. These terms and conditions shall be governed by the laws of Pakistan.

* The terms and conditions will be part of the Online Account Form for Individual Pakistani Customers.

Signature of Applicant **Authorized Signatory**

AUTO LIQUIDATION ADDENDUM

(Addendum to the Special Terms and Conditions contained in KTRADE Securities Limited (KTRADE) Commodities Futures Trading Account Opening Form)

_____ ("The Account Holder") has executed with KTRADE Securities Ltd. Commodities Futures Trading Standardized Account Opening Form ("SAOF") on 20 in connection with the purchase and sale of Commodity Future Contracts and trading in Commodities Futures, including commodities futures contracts, options on commodities, options on futures contracts and forward or leverage contracts and any similar instruments which may be purchased or sold or traded in (collectively referred to as "Commodity Contracts"), by or through KTRADE for Account Holder's account at Pakistan Mercantile Exchange Limited ("PMEX") in accordance with the Rules and the Regulations of PMEX. All other provisions of the SAOF shall remain in full force and effect.

It is KTRADE's policy to have an auto liquidation activated in each account, to safe guard clients from excessive losses resulting from drastic market changes.

1. The Auto Liquidation function is set between 20%-25% of margin requirements or value of client open positions in all the contracts. In the event that the Account Holder' s open position equals or is below 20%-25% of the total exposure in the open positions of one or all the contracts, then KTRADE may auto-liquidate all of the positions in the account and settle, close or cancel the pertinent Commodity Contract(s). The Auto-Liquidation Mechanism will send offsetting market orders directly to the appropriate electronic clearing network of PMEX or market maker; resulting in the closing of all open positions for the Account Holder's account.

2. The Account Holder acknowledges and agrees to his account being auto liquidated at the current market rate prevailing at the time of liquidation.

3. If positions are unable to be offset due to market conditions, the Account Holder shall still remain liable for his/her positions and market risk in his/her account. Provided however, KTRADE does not guarantee or assure that the open position would stand liquidated since auto-liquidation Mechanism is subject to reasons and factors beyond the reasonable control of KTRADE. Further, the Account Holder shall be responsible to pay any debit balance that may result from his/her account being auto liquidated and shall not question or challenge the auto-liquidation due to any reasons whatsoever.

4. KTRADE has a right to increase at its sole discretion, the margin and/or auto-liquidation function rate(s) with or without prior notice to the Account Holder. In such a case the front office will inform their trader/sales person(s) to update the said changes to their clients via email or via recorded lines in the next 48 hours.

5. Principal Risk Factors associated with Auto-Liquidation. KTRADE can liquidate an account without prior notice to the Account Holder. This is especially the case in auto-liquidation. There are several risk factors associated with auto liquidation which the Account Holder hereby accepts. For instance, an account is automatically liquidated if KTRADE has received wrong data from the PMEX, or that there is a key punch error in recording the data. KTRADE is not responsible for wrong dam it receives from PMEX or from market maker, nor is KTRADE responsible for late, lost, misdirected, undelivered, incomplete, illegible or unintelligible orders; unavailable network connections; failed, incomplete, garbled or delayed computer transmissions; keypunch errors; online failure or other technical malfunctions or disturbances. KTRADE shall also not be responsible if the positions cannot be squared up to Auto Liquidation Mechanism due to circumstances beyond its control. If the account value changes overnight, the account may be auto liquidated upon the opening of the market. Market volatility could cause an account to be auto-liquidated on short or relatively no notice to the Account Holder. All other risks associated with trading, are present even though KTRADE may exercise its rights to auto-liquidate.

6. This Auto Liquidation Addendum shall be supplemental to and not in derogation of the terms and conditions contained in the SAOF, which shall continue to remain in full force and effect amended as above.

The undersigned agrees, acknowledges, and understands the foregoing and agrees to be bound by the terms of this Addendum.

_____ _____

Signature(s) of Account Holder(s) **Signature of Broker**

PRODUCT SPECIFICATION SHEET

Symbol	Contract Size	Tick Size	Tick Value	K Trade Charges (Each Side)	Margin	Settlement
GOMOZ	1 Micro oz.	$ 0.10	$ 0.10	5	5.00%	Cash
GO1OZ	1oz	$ 0.10	$ 0.10	45	5.00%	Cash
GO10OZ	10oz	$ 0.10	$ 1.00	400	5.00%	Cash
GO100OZ	100oz	$ 0.10	$ 10	2250	5.00%	Cash
SL1OZ	1oz	$0.0001	$0.001	5	9.50%	Cash
SL10OZ	10oz	$ 0.001	$ 0.01	35	9.50%	Cash
SL100OZ	100oz	$ 0.001	$ 0.10	275	9.50%	Cash
SL500OZ	500oz	$ 0.001	$ 0.50	1000	9.50%	Cash
SL5000OZ	5000oz	$ 0.001	$ 5	5500	9.50%	Cash
CRUDE1	1 US bbl	$0.01	$0.01	10	11.60	Cash
CRUDE10	10 US bbl	$ 0.01	$ 0.10	60	11.60%	Cash
CRUDE100	100 US bbl	$ 0.01	$ 1	500	11.60%	Cash
CRUDE1000	1000 US bbl	$ 0.01	$ 10	4500	11.60%	Cash
BRENT10	10 US bbl	$ 0.01	$ 0.10	60	10.00%	Cash
BRENT100	100 US bbl	$ 0.01	$ 1	500	10.00%	Cash
BRENT1000	1000 US bbl	$ 0.01	$ 10	4500	10.00%	Cash
TOLA GOLD	1 Tola	PKR 1	PKR 1	300	100%	Cash/ Delivery
MTOLA GOLD	1 Mili Tola	PKR 1	PKR 1	1	100%	Cash/ Delivery
ALUMINUM 1	1 Metric Ton	$0.25	$0.25	125	6.5%	Cash
ALUMINUM 5	1 Metric Ton	$0.25	$1.25	550	6.5%	Cash
CURRENCIES	10,000 Units	1 bps	$ 1	400	1.25%-4.25%	Cash
LGMRRICE	10MT	Rs. 25	Rs. 2500	150	6.50%	Cash/ Delivery
SUGAR	12MT	Rs. 10	Rs. 1200	150	6.50%	Cash/ Delivery
IWHEAT	5000 bushels	$0.25	$12.50	575	5.60%	Cash
ICORN	5000 bushels	$0.25	$12.50	575	5.60%	Cash
ISOYBEAN	5000 bushels	$0.25	$12.50	575	5.60%	Cash
ICOTTON	5000 Pounds	$ 0.01	$ 0.5	575	5.60%	Cash
COPPER	100 Pounds	0.0001	$0.01	30	8.50%	Cash
COPPER	1000 Pounds	$ 0.0001	$ 0.10	300	8.50%	Cash
COPPER	25000 Pounds	$ 0.0001	$ 2.50	3000	8.50%	Cash
NGAS	1000 MMBtu	$ 0.001	$ 1	900	14.00%	Cash
NGAS	10000 MMBtu	$ 0.001	$ 10	4000	14.00%	Cash
Platinum	0.5oz	$0.10	$0.050	30	11.00%	Cash
Platinum	1oz	$0.10	$0.10	60	11.00%	Cash
Platinum	5oz	$ 0.10	$ 0.50	300	11.00%	Cash
Platinum	50oz	$ 0.10	$ 5	2250	11.00%	Cash
S&P500 micro	Value/ Per $	0.25 pts	$0.0025	5	7.00%	Cash
S&P500 mini	Value/ Per $	0.25 pts	$0.025	30	6.70%	Cash
S&P500	Value/ Per $	0.25 pts	$ 0.25	150	6.70%	Cash
NASDAQ100 micro	Value/ Per $	0.25 pts	$0.0025	5	7.00%	Cash
NASDAQ100 mini	Value/ Per $	0.25 pts	$0.025	30	7.00%	Cash
NASDAQ100	Value/ Per $	0.25 pts	$ 0.25	300	7.00%	Cash
DJ	Value/ Per $	0.25 pts	$ 1	700	6.00%	Cash
JPYEQTY 1 (Nikkei 225)	Value/ Per $	5 pts	$ 5	500	7.00%	Cash
JPYEQTY 5 (Nikkei 225)	Value/ Per $	5 pts	$ 25	2500	7.00%	Cash

Signature(s) of Account Holder(s)

Signature of Broker

NOTE

- **PMEX Trading Fee Criteria**: The Securities and Exchange Commission of Pakistan (SECP) has approved the adoption of the criteria for the calculation of the PMEX trading fee @ 0.009% of the contract value for all futures contracts with a floor fee of Rs.30, except PMEX Milli Tola Gold Futures Contract, with effect from October 03, 2022.
  The contribution to Investor Protection Fund (IPF) will be @1%, and the SECP Transaction Fee will be 10% of the PMEX trading fee. Include Settlement Guarantee Funds (SGF) and Include Front-end Fee will be based on traded value and are 0.00031% and 0.001% respectively, making the total trading fee to 0.0113%.
  The trading fees for MTOLAGOLD and GOMOZ are lower than the floor fee, which remains the same with the inclusion of SGF and the Front-end Fee.
- **Capital Gains Taxes (CGT)**: Capital Gain Tax is 5% for filers and 10% non-filers. Subject to changes as per NCCPL directives.
- **Sindh Sales Tax (SST):** The Above-mentioned KTrade Charges are subject to 15% SST.
- **Tariff for Capital Gains Tax (CGT) Related Services:** CGT tariff related charges are deducted from Rs.100 to Rs.15,000 per fiscal year by National Clearing Company of Pakistan Limited (NCCPL) on Annual Traded Value of Rs.100,000 to Rs.1 billion. (Circular No.: PMEX/MKT, BD&CSS/2025/05)
- **Margins** are subject to change as per PMEX directives.
- **IBFT Charges:** For IBFT (PKR 1 to PKR 100,000): PKR 25 + Tax & (PKR 100,001 and above): PKR 45 + Tax, Cash and third party deposits are strictly prohibited.
- **Intra-Day Contracts (ID):** Minimum margin requirement in ID will be half of the margin requirement in regular contracts with a flooring of 1%.
- **Auto-liquidation Threshold (ALT):** Exchange defined Auto-liquidation Threshold (ALT) for both, ID and regular contracts is 55%.

Signature(s) of Account Holder(s)

Signature of Broker

RISK DISCLOSURE DOCUMENT

THIS DOCUMENT SHOULD BE READ BY EACH AND EVERY PROSPECTIVE CLIENT BEFORE ENTERING INTO COMMODITY FUTURES TRADING AND SHOULD BE READ IN CONJUNCTION WITH REGULATIONS OF PAKISTAN MERCANTILE EXCHANGE LIMITED ("PMEX").

PMEX has not passed the merits of participating in this trading segment nor has PMEX passed the adequacy or accuracy of this disclosure document. This brief statement does not disclose all of the risks and other significant aspects of trading. In light of the risks, you should undertake such transactions only if you understand the nature of the Futures Contracts (and contractual relationships) into which you are entering and the extent of your exposure to risk. Risk of loss in trading in Commodity Futures Contracts can be substantial. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. Futures trading thus require not only the necessary financial resources but also the financial and emotional temperament. In case of any consequences or loss in the Futures segment, the Client shall be solely responsible for such loss and the Exchange shall not be responsible for the same and it will not be open for any Client to take the plea that no adequate disclosure was made or he was not explained the full risk involved by the Broker. The Client will be solely responsible for the consequences and no contract can be rescinded on that account.

RISKS INVOLVED IN TRADING IN FUTURES CONTRACTS Effect of "Leverage" or "Gearing"

The amount of margin is small relative to the value of the Commodity Futures Contract so the transactions are 'leveraged' or 'geared'.
Commodity Futures trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in Futures carry a high degree of risk.
You should therefore completely understand the following statements before actually trading in Commodity Futures Contracts and also trade with caution while taking into account one's circumstances, financial resources, etc. If the prices move against you, you may lose a part of or the whole margin equivalent to the principal investment amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

I. Commodity Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the Settlement price. If the settlement price has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.

II. If you fail to deposit mark to market losses and additional margin by the deadline or if an outstanding debt occurs in your account, the Broker may, without any further notice to the Client, liquidate a part of, or the whole position, in order to bring the margin to the required level. In this case, you will be liable for any losses incurred due to such closeouts.

III. Under certain market conditions, an investor may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.

IV. In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.

V. You must ask your Broker to provide the full details of the Commodity Futures Contracts you plan to trade i.e. the contract specifications and the associated obligations and ensure that your Broker takes no positions without your express written authorization if you deem it necessary.

Risk-reducing orders or strategies

The placing of certain orders (e.g., "stop-loss" orders, or "stop-limit" orders), which are intended to limit losses to certain amounts, may not be effective because market conditions may make it impossible to execute such orders. Strategies using combinations of positions, such as "spread" positions, may be as risky as taking simple "long" or "short" positions.

Suspension or restriction of trading and pricing relationships

Market conditions (e.g., illiquidity) and/or the operation of the rules of certain markets (e.g., the suspension of trading in any contract or contact month because of price limits or "circuit breakers") may increase the risk of loss due to inability to liquidate/offset positions.

Deposited cash and property

You should familiarize yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property that has been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the Broker, the same shall be subject to arbitration as per the Regulations of the Exchange.

Commission and other charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

Trading facilities

The Exchange offers electronic trading facilities, which are computer-based systems for order routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. Your ability to recover certain losses may be subject to limits on liability imposed by the system provider, the market, the clearing house and/or Broker firms. Such limits may vary; you should ask the firm with which you deal for details in this respect.

This document does not disclose all of the risks and other significant aspects involved in trading on a Futures market. The Client should therefore study Futures trading carefully before becoming involved in it.

I hereby acknowledge that I have received and understood this risk disclosure statement.

_____ _____

Signature(s) of Account Holder(s) **Signature of Broker**

Date: _____

KTRADE SECURITIES LIMITED

GUIDELINES FOR CLIENTS OF COMMODITY FUTURES BROKERS

Do's	Don'ts
1. Verify the authenticity of a Broker and its branches from the list of registered brokers from PMEX website (http://www.pmex.co/pmex-broker/) 2. Carefully read and understand the terms and conditions along-with Risk Disclosure Document 3. Ensure that all information is accurately filled in the Account Opening Form and a signed copy of the form is retained for future reference 4. In case of any change in information provided in the Account Opening Form, immediately communicate in writing to Broker 5. Only deposit payments to PMEX through cross cheque or online transfer from your own bank account registered with PMEX. 6. Ensure that Broker sends daily, weekly, monthly account balance and activity statements to know the trade activity and cash balances in the trading account 7. Ensure that Broker sends SMS alerts for trades and cash movement in the trading account 8. Approach PMEX in case of any complaint that remains unresolved by the Broker	1. Do not deal with Brokers or their branches not registered with PMEX 2. Do not give wrong, contradictory or incomplete information in the Account Opening Form 3. Do not issue cross cheque, pay order, demand draft or any other instrument in the name of broker, or any of its employee/authorized representative. 4. Do not deposit payments in cash in your account. 5. Do not deposit payments through third party cheque or online transfer from third party account. 6. Do not get misled by alluring advertisements, rumors, hot tips or the promises of assured returns by the Brokers or their authorized representatives 7. Do not share personal ID and password provided by the Exchange with the Brokers or their authorized representatives 8. Do not surrender the right of receiving cash and trade balances reports via email and SMS 9. Do not start trading before reading and understanding the Risk Disclosure Document provided by PMEX 10. Do not give deposit by whatever name called, to any Broker or any of its employee / authorized representative against fixed or guaranteed returns on deposits as the same is illegal and any claim in respect of such deposits would not be considered / entertained by PMEX.

عمل کریں	گریز کریں
1- بروکرز اور اس کی برانچز کی تصدیق پی ایم ای ایکس کی ویب سائیٹ http://www.pmex.co/pmex-broker/ پر فراہم کردہ لسٹ سے کریں۔ 2- شرائط و ضوابط کے ساتھ خطرات کی نشاندہی کرنے والی دستاویز کو احتیاط سے پڑھیں اور سمجھیں۔ 3- اس بات کو یقینی بنائیں کہ اکاونٹ کھولنے کے فارم میں معلومات کا درست اندراج کیا گیا ہے اور اس فارم کی دستخط شدہ کاپی حاصل کریں جس کو ضرورت کے وقت بطور حوالہ استعمال کیا جاسکے۔ 4- اکاونٹ کھولنے کے فارم میں معلومات میں کسی بھی قسم کی تبدیلی سے بروکر کو تحریری طور پر فوری آگاہ کریں۔ 5- اپنے بروکر کو ہدایت کریں کہ آپ کی لاگ ان کی تفصیلات قابل استعمال بنائے۔ 6- ادائیگیاں صرف بنکنگ چینل (آن لائن بنکنگ ، کراس چیک، پے آرڈر وغیرہ) کے ذریعہ بروکر کے نام کریں اور اس کی رسید حاصل کریں۔ 7- اس امر کی تصدیق کرلیں کہ بروکر نے رقم آپ کے ٹریڈنگ اکانونٹ مین مختص کردی ہے جو پی ایم ای ایکس کے پاس کھولا گیا ہے۔ 8- اس بات کو یقینی بنائیں کہ بروکر آپ کو آپ کے ٹریڈنگ اکانونٹ میں ہونے والے سودوں اور کیش بیلنس کی تفصیلات روزانہ، ہفتہ وار اور ماہانہ کی بنیاد پر فراہم کرے۔ 9- اپنے بروکر کو ہدایت کریں کہ وہ آپ کو ٹریڈنگ اکانونٹ میں ہونے والی ٹریڈ اور کیش موومنٹ کے بارے میں ایس ایم ایس الرٹ باقاعدگی سے بھیجے۔ 10-ایسی شکایت جو بروکر دور کرنے میں ناکام رہے اس کی اطلاع پی ایم ای ایکس کو دیں۔	1- ان بروکرز یا برانچز کے ساتھ کاروبار نہ کریں جو پی ایم ای ایکس کے پاس رجسٹرڈ نہ ہوں۔ 2- اکاونٹ اوپننگ فارم میں غلط، متضاد یا نا مکمل معلومات کا اندراج نہ کریں۔ 3- بروکر یج ہاؤس کے کسی بھی نامزد کردہ نمائندہ کے نام کراس چیک، پے آرڈر، ڈیمانڈ ڈرافٹ جاری نہ کریں۔ 4- بروکر پاس کے نامزد نمائندہ کو پچیس ہزار روپے کی مقرر کردہ حد سے زیادہ نقد رقم ادا نہ کریں۔ 5- بروکر یا اس کے نامزد نمائندہ کی جانب سے جاری کردہ پرکشش اشتہار افواہ، بات ٹپ یا یقینی منافع کی ادائیگی کی پیشکش سے گمراہ نہ ہوں۔ 6- ایکسچینج کی طرف سے جاری کیا گیا ای ڈی اور پاس ورڈ کسی بھی صورت میں بروکر پاس کے نامزد کردہ نمائندہ کو نہ بتائیں کیونکہ بروکر کلائنٹ کی ہدایت پر ای ڈی اور پاس ورڈ کلائنٹ سے حاصل کئے بغیر بھی ٹریڈ کرسکتا ہے۔ 7- اپنے کیش بیلنس اور ٹریڈ کے بذریعہ ایس ایم ایس یا ای میل وصول کرنے کے حق سے دستبردار نہ ہوں۔ 8- پی ایم ای ایکس کی جانب سے جاری کردہ خطرات کی نشاندہی کرنے والی دستاویز کو مکمل طور پر سمجھے بغیر ٹریڈنگ شروع نہ کریں۔ 9- ٹپ/ٹپ چاہے اس کو کوئی بھی نام دیا گیا ہو مقررہ یا یقینی منافع کے عوض بروکر کو ہر گز نہ دیں کیونکہ یہ غیر قانونی ہے اور اس قسم کے غیر قانونی ٹپ/ٹپ کے کسی بھی کلیم پر پی ایم ای ایکس کی جانب سے کوئی توجہ نہیں دی جائے گی اور نہ ہی اس پر غور کیا جائے گا۔

Signature(s) of Account Holder(s)

Signature of Broker