

REGISTRATION FORM (FOR ALL CATEGORIES OF INVESTORS)	Information about the Principal Account Holder (Please use BLOCK LETTERS)											
	Name (Mr./ Mrs./ Ms./ M/s.) (as per CNIC)		Father's/ Husband's/ Guardian's Name		Address		City		Country			
	ID No. (CNIC/ Passport)*		Phone No. Off		Nationality		Date of Birth		Religion			
	Res.		Fax		E-mail		D D M M Y Y Y Y		Status			
	Mobile		Occupation		Gender		Zakat Deduction*		Declaration Attached			
	Specimen Signature		Male <input type="checkbox"/> Female <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>					
	Joint Account Holder / Authorised Signatories											
	Operating Instruction: <input type="checkbox"/> Principal a/c Holder only <input type="checkbox"/> Anyone <input type="checkbox"/> Jointly by any two <input type="checkbox"/> Jointly by all (In case of institution, signature should be accompanied by rubber stamp)											
	Name (Mr./ Mrs./ Ms./ M/s.)		ID No. (CNIC/ Passport)*		Specimen Signature							
	Name (Mr./ Mrs./ Ms./ M/s.)		ID No. (CNIC/ Passport)*		Specimen Signature							
	Name (Mr./ Mrs./ Ms./ M/s.)		ID No. (CNIC/ Passport)*		Specimen Signature							
	Information about Nominee(s) (not applicable in case of joint account holder(s))											
	Name (Mr./ Mrs./ Ms./ M/s.)		ID No. (CNIC/ Passport)*		Relationship with principal a/c holder		Share (%)					
	Name (Mr./ Mrs./ Ms./ M/s.)		ID No. (CNIC/ Passport)*		Relationship with principal a/c holder		Share (%)					
	Bank Information (for redemption and dividend mandate)											
	Bank Account Number		Bank Account Title		Bank Name		Branch Address		Branch City			
	For Institutions Only											
	Category of Institution		<input type="checkbox"/> Corporate <input type="checkbox"/> Bank <input type="checkbox"/> NBF1/NBFC <input type="checkbox"/> Insurance <input type="checkbox"/> Brokerage <input type="checkbox"/> Trust/NGO		Others _____		Listed <input type="checkbox"/> Unlisted <input type="checkbox"/>		Tax Exemption* <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N.T.N _____			
	Other Information											
	Dividend Mandate (Kindly intimate the mode of dividend distribution for all funds, incase of no decision, investor will automatically be issued bonus units in their respective a/c.)											
	Name of Fund		KIOF		KSMF		KAAF		KIIOF		KCF	
	Bonus		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	
	Cash		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	
	In case of bonus units, units will be added to investor's respective a/c which can be redeemed at the applicable price. In case of cash dividend, dividend warrants will be distributed to the investor's registered address.											
	Account Statement Frequency		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half yearly <input type="checkbox"/> Annually <input type="checkbox"/> Do not send statements									
	Mode of receiving statements		<input type="checkbox"/> On my above e-mail <input type="checkbox"/> Courier/ Post									
	Declaration											
	I/ we hereby acknowledge having read and understood the relevant Trust Deed(s), Offering Document(s) and guidelines (on the back of this Form) that govern this transaction and further acknowledge having understood the risks involved and I/ we agree to abide by the terms and conditions contained therein.											
Signature of applicant(s) (with Rubber Stamp in case of Institutional Client)												
For Official Use Only												
Facilitator												
Code		Remarks		Signature		Investment Form No.						
Distributor												
D D M M Y Y Y Y		H H M M		AM PM		Form Received on Date/Time		Code		Remarks		
Authorized Person's Name		Signature & Stamp										
Registrar												
D D M M Y Y Y Y		H H M M		AM PM		Form Received on Date/Time		Form & Attachment Verified by		Data Input by		
Data Verified by		Investor Req. No. Allotted										

*Please attach certified copies

Guidelines for filling out KASB Funds Limited Registration Form – KFL-01

This form is a one-time requirement for all categories of investors, and is required to be filled only when the account is opened. Please complete the form in Blocks Letters with a ball point pen. Make sure that you have read the relevant Trust Deed(s) and Offering Document(s) before filling this form.

Information about the Principal Account Holder

- i) In case of an Application form being filled in by the guardian, on behalf of a minor(s), the name of the minor(s) as well as of the guardian should be written clearly on the Form and the guardian's signature should be recorded in the specified section.
- ii) All Non-Residents need to tick in the box assigned for the purpose.

Joint Account Holder/Authorised Signatories

- iii) In case of joint account/institutions this section shall be used for authorised signatories and rubber stamp of the institution should be fixed for each signatory.

Information about the Nominee

- iv) This section is not applicable in case of joint holder(s).
- v) The names of the nominees, if any, and relationship with the Principal Account Holder and their entitlement should be filled in.
- vi) In case of death or lunacy of the principal account holder, the title of the account shall be transferred to the nominee upon provision of sufficient evidence of the title to the satisfaction of the Registrar. The onus of proof for the entitlement to the satisfaction of the management company, will be upon the nominee

Bank Information

- vii) In this section, the details of the bank account of the Principal Account Holder is to be recorded in full. Any error in filling this information may cause delay in transfer of funds to the Account Holder.
- viii) These details shall be used for both redemptions and dividend mandates, where applicable.

For Institutions Only

- ix) This section is to be filled if an institutional account is being opened.

Other information

- x) In this section, the investor is given an option of receiving dividend in the form of cash or bonus. However, if investor does not mark, by default the dividend will be distributed in the form of bonus units which will be added to the respective investors' account. These units can be redeemed at the prevailing price.
- xi) In case of bonus units, units will be added to investors' respective account which can be redeemed at the applicable price. In case of cash dividend, dividend warrants will be distributed to the investor's registered address.
- xii) In case of individuals, Zakat declaration of the Principal as well as the Joint Account Holders (if any) is required, in order to claim exemption from Zakat deduction.
- xiii) The Registrar will send directly to each Account Holder, an account statement upon every transaction in the account. However, account holders may indicate his or her desire to receive more frequent statements of account at additional charges.
- xiv) Account Holder can opt to either receive Account Statement through courier/post or e-mail as per convenience. However, if an investor does not mark, by default it will be sent through courier/post.

Other Instructions

- xv) In case the Account Holder/ Joint Account Holder(s) is/ are illiterate and cannot sign, then he/ she must be required to submit a clear copy of CNIC with his/ her thumb impression on it and same would be affixed on the form along with one recent passport size photograph authenticated by his/ her banker. His/ her form would also need to be signed by two witnesses.
- xvi) The account holder should tick the relevant boxes only.
- xvii) It is the responsibility of the applicant to pay all stamp duties, taxes and processing charges in relation to the registration process.
- xviii) If an acknowledgement of the investment is not received within 10 working days, the investor should contact KASB Funds Limited.

Documents Required (Certified True Copies)

Institutional Clients	Individual Clients
1. Articles and Memorandum of Association. <input type="checkbox"/>	1. Copy of CNIC of the Principal Account Holder. <input type="checkbox"/>
2. Certificate of Incorporation. <input type="checkbox"/>	2. Copy of Passport in case of Non Resident. <input type="checkbox"/>
3. List of Directors with copies of their CNIC. <input type="checkbox"/>	3. Copy of CNIC of Joint Holder(s). <input type="checkbox"/>
4. List of signatories with copies of their CNIC. <input type="checkbox"/>	4. Copy of CNIC of Nominee(s). <input type="checkbox"/>
5. Board Resolution. <input type="checkbox"/>	5. Zakat Declaration Certificate. <input type="checkbox"/>
6. NTN Certificate <input type="checkbox"/>	6. Form-B (Registration Certificate) in case of minor. <input type="checkbox"/>
7. Tax Exemption Certificate (if any). <input type="checkbox"/>	
8. Other incorporation document(s). <input type="checkbox"/>	

For further information or any other queries you are requested to contact our representatives.

KASB FUNDS LIMITED.

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